

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2014	06	19

 to

YYYY	MM	DD
2014	12	31

- Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)
 Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate’s name as shown on the ballot

Last Name

Stull

Given Name(s)

Sally

Name of office for which the candidate sought election

Mayor

Ward name or no. (if any)

Name of Municipality

The Corporation of the Town of Halton Hills

Spending limit issued by clerk

\$42940.75

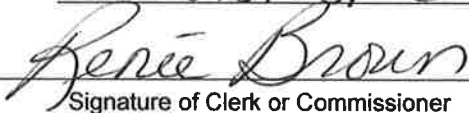
- I did not accept any contributions or incur any expenses other than the nomination fee. *(Complete Box A and B only)*

Box B: Declaration

I, Sally Stull, a candidate in the municipality of The Corporation of the Town of Halton Hills, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

 in the Town of Halton Hills

 on (yyyy/mm/dd) 2015/03/26


Signature of Clerk or Commissioner

2015/03/26

Date Filed in the Clerk’s Office (yyyy/mm/dd)



Signature of Candidate

Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____
 Amount borrowed \$ 0.00

INCOME

Total amount of all contributions (From line 1A in Schedule 1)	+ \$	<u>16,068.98</u>	
Refund of nomination filing fee	+ \$	<u>200.00</u>	
Sign deposit refund	+ \$	<u>0.00</u>	
Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2)	+ \$	<u>0.00</u>	
Interest earned by campaign bank account	+ \$	<u>0.00</u>	
Other (provide full details)			
1. _____	+ \$	_____	
2. _____	+ \$	_____	
3. _____	+ \$	_____	
Total Campaign Income (Do not include loan)	= \$	<u>16,268.98</u>	C1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to spending limit**

Nomination filing fee	+ \$	<u>200.00</u>	
Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1)	+ \$	<u>0.00</u>	
Advertising	+ \$	<u>3,760.64</u>	
Brochures/flyers	+ \$	<u>2,995.63</u>	
Signs (including sign deposit)	+ \$	<u>4,697.40</u>	
Meetings hosted	+ \$	<u>0.00</u>	
Office expenses incurred until voting day	+ \$	<u>500.03</u>	
Phone and/or Internet expenses incurred until voting day	+ \$	_____	
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	_____	
Bank charges incurred until voting day	+ \$	<u>20.82</u>	
Interest charged on loan until voting day	+ \$	<u>0.00</u>	
Other (provide full details)			
1. <u>postage</u>	+ \$	<u>2,658.01</u>	
2. <u>website design</u>	+ \$	<u>900.00</u>	
3. _____	+ \$	_____	
Total Expenses subject to spending limit	= \$	<u>15,732.53</u>	C2

Expenses not subject to spending limit

Accounting and audit	+ \$	<u>565.00</u>	
Cost of fund-raising events/activities (list details in Part IV of Schedule 2)	+ \$	<u>0.00</u>	
Voting day party/appreciation notices	+ \$	<u>0.00</u>	
Office expenses incurred after voting day	+ \$	<u>0.00</u>	
Phone and/or Internet expenses incurred after voting day	+ \$	<u>0.00</u>	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	_____	
Bank charges incurred after voting day	+ \$	<u>28.00</u>	
Interest charged on loan after voting day	+ \$	_____	
Expenses related to recount	+ \$	_____	
Expenses related to controverted election	+ \$	_____	
Expenses related to compliance audit	+ \$	_____	
Expenses related to candidate's disability (provide full details)			
1. _____	+ \$	_____	
2. _____	+ \$	_____	
3. _____	+ \$	_____	
Other (provide full details)			
1. _____	+ \$	_____	
2. _____	+ \$	_____	
3. _____	+ \$	_____	
Total Expenses not subject to spending limit	= \$	<u>593.00</u>	C3

Total Campaign Expenses (C2 + C3) = \$ 16,325.53 C4

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income – Total Expenses) (C1 – C4)	+	\$	<u>(56.55)</u>	D1
Eligible deficit carried forward by the candidate from the last election	-	\$	<u>0.00</u>	D2
Total (D1 – D2)	=	\$	<u>(56.55)</u>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	-	\$	<u>0.00</u>	
Surplus (or deficit) for the campaign	=	\$	<u>(56.55)</u>	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$0.00 paid to municipal clerk in the municipality of _____.

Table 2: Monetary contributions from corporations or unions

Name (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Amount \$
1244002 Ontario Inc.	12249 8 th Line Georgetown ON L7G 4S4	Rick Stull		750.00
1570226 Ontario. Limited	260 Guelph St Georgetown ON L7G 5L1	Brian Doherty		500.00
Fieldgate Land Development Limited	5400 Yonge St Suite 301 Toronto M2N 5R5	Rick Mangotich		500.00
Counteract Balancing	70 Watson Parkway South #8, Guelph, ON N1L 0C3	Roger Leblanc		750.00
Ashgrove Cotracting	9116 6 th Line Georgetown ON L7G 4S6	Steve Upson		750.00
Freedom Staffing Group Inc.	2245 Kennedy Rd, Unit 4 Scarborough ON M1T 3G8	Jeff Kells		750.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total	4,000.00

Table 3: Contributions in goods or services from individuals other than candidate or spouse
(Note: must also be recorded as expenses in Box C)

Name	Full Address	Description of Goods or Services	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			Total
			0

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket Revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

	+	\$	_____	2A
Number of tickets sold	X		_____	2B
Total Ticket Revenue (2A x 2B) (Include in Schedule 1)				= \$ 0.00

Part II – Other revenue deemed a contribution

(provide details (e.g. revenue from goods sold in excess of fair market value))

1.	+	\$	_____	
2.	+	\$	_____	
3.	+	\$	_____	
4.	+	\$	_____	
5.	+	\$	_____	
Total Part II Revenue (include in Schedule 1)				= \$ 0.00

Part III – Other revenue not deemed a contribution

(provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

1.	+	\$	_____	
2.	+	\$	_____	
3.	+	\$	_____	
4.	+	\$	_____	
5.	+	\$	_____	
Total Part III Revenue (include in Box C)				= \$ 0.00

Part IV – Expenses related to fundraising event or activity (provide details)

1.	+	\$	_____	
2.	+	\$	_____	
3.	+	\$	_____	
4.	+	\$	_____	
5.	+	\$	_____	
6.	+	\$	_____	
7.	+	\$	_____	
8.	+	\$	_____	
Total Part IV Expenses (include in Box C)				= \$ 0.00

Auditor's Report*Municipal Elections Act, 1996 (Section 78)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Bernard C Smith, CPA, CA

Municipality
Toronto OnDate (yyyy/mm/dd)
2015/03/25**Contact Information**

Name

Last Name
SmithFirst Name
Bernard

Licence Number

1-3732

Address

Suite/Unit No.

Street No.
120

Street Name

Santa Barbara Road

City/Town

Toronto

Province

ON

Postal Code

M2N 2C5

Telephone No. (including area code)

416 229 9984 ext.

Fax No.

416 229 0208

Email Address

bsca@hotmail.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Bernard C. Smith, CPA, CA

*Licensed Public Accountant
120 Santa Barbara Road
North York, Ontario M2N 2C5
Business: (416) 229-9984
Fax: (416) 229-0208*

INDEPENDENT AUDITOR'S REPORT

To Sally Stull, Candidate for Mayor and Suzanne Jones, Town Clerk, Town of Halton Hills

I have audited the Financial Statement - Form-4 (prepared in accordance with the Municipal Elections Act, 1996) in respect of the candidacy of Sally Stull. This form has been prepared by the candidate based on the financial reporting provisions of the Municipal Elections Act, 1996.

Candidate's Responsibility for the Financial Statements

The Candidate is responsible for the preparation and fair presentation of these financial statements in accordance with the financial reporting provisions of the Municipal Elections Act, 1996 and for such internal control as the candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

My responsibility is to express an opinion on Form 4 based on my audit. I conducted my audit in accordance with Canadian generally accepted auditing standards. These standards require that I comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the candidate, as well as evaluating the overall presentation of the financial statements.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinion.

Basis for Qualified Opinion

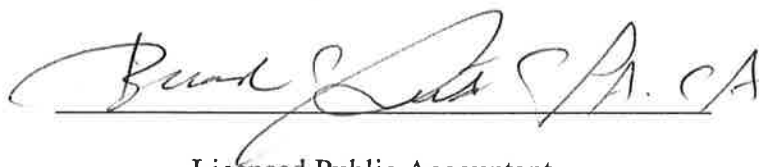
Due to the inherent nature of the transactions of electoral campaigns, the completeness of contributions, other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, my verification of these amounts was limited to the amounts recorded in the records of the candidate and I was not able to determine whether any adjustments might be necessary to contributions, other revenue or expenses. As well, the Municipal Elections Act, 1986 does not require me to report, nor was it practicable for me to determine, that contributions reported include only those which may be properly retained in accordance with the provisions of the Act.

Qualified Opinion

In my opinion, except for the possible effects of the matter described in the "Basis for Qualified Opinion" paragraph, the Financial Statement - Form-4 is prepared, in all material respects, in accordance with the financial provisions of the Municipal Elections Act, 1996.

Basis of Accounting

Without modifying my opinion, I draw your attention to the fact that these financial statements are prepared to assist the Candidate to meet the requirements of the Municipal Elections Act, 1996. As a result, the financial statements may not be suitable for another purpose.

A handwritten signature in cursive script, reading "Brent Lutz C.P.A. C.A.", written over a horizontal line.

Licensed Public Accountant

March 25, 2015
Toronto, Ontario